Construction Codes and Licensing Division Licensing and Certification Services 443 Lafayette Road North PO Box 64217 St. Paul, MN 55155



Email: dli.license@state.mn.us Phone: (651) 284-5034 Website: www.dli.mn.gov

# SIGN CONTRACTOR BOND FILING INSTRUCTIONS

**STEP 1** - Starting a Business in Minnesota: Before submitting a new license application you must choose a business structure for your business entity. To obtain more information relating to starting a business in Minnesota you can contact the Minnesota Department of Employment and Economic Development at <a href="http://www.positivelyminnesota.com/Business">http://www.positivelyminnesota.com/Business</a> or call 651-556-8425.

**STEP 2** – **Minnesota Secretary of State Office**: Before submitting a new license application you will need to contact the Office of the Minnesota Secretary of State at this link; <u>http://www.sos.state.mn.us//index.aspx?page=92</u> to obtain information relating to the registration of your business entity or business name in Minnesota. Contact SOS by phone at 651-296-2803 or 1-877-551-6767.

**STEP 3 - Tax ID & Employment Insurance -** Except for individuals (sole-proprietor) or one-member limited liability companies without employees or taxable sales, <u>all businesses must disclose their Federal Employer Identification Number (FEIN) and their</u> <u>State Tax Identification number</u>. Individuals (sole proprietor) or one member limited liability companies must provide a Social Security number. Tax numbers are available from the state or federal revenue agencies below:

Minnesota Tax Identification Number 651-282-5225 Federal Employer Identification Number 800-829-4933 Employment and Economic Development (Unemployment Insurance) 651-296-6141 Labor and Industry (Workers' Compensation Insurance) 651-284-5032 Revenue (if making retail sales in Minnesota) 651-296-6181 – corporate Sales Tax ID

#### **STEP 4** - Information for use in completing the license application

#### Legal Business Name:

- Individual/Sole Proprietor -The legal business name for all individual proprietors is the full legal name (first, middle, last) of the individual business owner.
- **General Partnerships** The legal business name of a partnership consisting of two or more individuals, is the full legal names of each partner (first, middle, last) and must include all business partners.
- All other business types The legal business name of a Corporation, Foreign Corporation, Limited Liability Company, Limited Liability Partnership, or Limited Partnerships is the exact business entity name as filed with the Office of the Minnesota Secretary of State

**Minnesota Secretary of State (SOS):** If your business entity or business name is required to be registered with the SOS, you will need to contact the Office of the Minnesota Secretary of State at this link: <u>http://www.sos.state.mn.us//index.aspx?page=92</u> to obtain the required business documentation.

**Doing Business As (DBA) Name / Assumed Name:** Any business operating by a name other than their full legal business name is also required to file a Certificate of Assumed Name with the Minnesota Secretary of State to obtain authority for use of the assumed name. **NOTE:** Except for individuals and partnerships doing business under their own true full legal first and last name(s), all businesses and assumed (DBA) names must be registered with the Office of the Secretary of State.

**Physical Address:** Must be the physical address of the business, if different from the main address. This address is the primary physical address for work performed in Minnesota by the holder of the license, certificate, or registration. A PO Box is not acceptable. On the application form, you may designate this address as your public address for the license, certificate, or registration.

**Mailing Address:** Must be the mailing address for the business entity being licensed, certified, or registered, if different from the main address. This address is the primary mailing address for the holder of the license, certificate, or registration. A PO Box is acceptable. On the application form, you may designate this address as your public address for the license, certificate, or registration.

Minnesota Registered Agent: All applicants whose business is located outside the state of Minnesota must provide the name and address of a Minnesota registered agent authorized to receive service of process and give consent to service of process as required by Minn. Stat. § 326B.855.

**STEP 5** - Before submitting your bond filing application, carefully read and follow the Application Requirements included with this application packet.

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#### DEPARTMENT OF LABOR AND INDUSTRY

## SIGN CONTRACTOR Business Registration Checklist

## Incomplete or inaccurate applications will delay processing.

# Except for the Certificate of Good Standing and/or Certificate of Assumed Name, all forms and documents must include original signatures.

#### ALL documentation and fees are required and must be complete and accurate before a license will be issued.

#### **Registration Fee**

E-mail:

Phone:

Website:

Initial Bond Application (NEW)\$100.00Renewal Bond Application\$100.00

Mail your application to DLI, pay by check or money order payable to the **Department of Labor & Industry**. NOTE: Depositing of a fee does not constitute the granting of a license, certificate, or registration. **CASH IS NOT ACCEPTED BY MAIL OR WALK-IN** 

#### Minnesota Secretary of State (SOS) Registration / Assumed Name Verification

Include a computer screen print of the ACTIVE SOS Business Record Detail for your business entity filing and/or the assumed name with your license application. Submit a computer screen print for <u>each</u> SOS business filing. Contact SOS by phone at 651-296-2803 or 1-877-551-6767 or online at <u>www.sos.state.mn.us</u>

#### **Contractor Application Form**

Application Form - Pages 1 & 2 must be completed and signed by applicant(s).

#### **Disclosure of Business Owners, Partners, Officers and Members Form**

All owners, partners, shareholders, and members owning more than 10 percent in the business must be disclosed. Key officers responsible for the day to day operations for the business entity being licensed, certified or registered must be disclosed.

**Bond** - Original or copy of bond form issued, signed, sealed and notarized by the Surety Company and must also be accompanied by the Power of Attorney form. A missing, incomplete or inaccurate bond will cause the application to be deficient and delay processing. Continuation Certificates are no longer accepted. You must complete the bond form in the packet.

#### Workers' Compensation Certification of Compliance Form

The Certificate of Compliance with Minnesota Workers' Compensation Law must be completed and submitted with this application by ALL applicants. Pursuant to M.S. § 176.215, Subd. 1, you may be required to have workers' compensation insurance coverage. Questions about who is required to have workers' compensation insurance coverage may be answered at 651-284-5032. Missing, incomplete or inaccurate certificate will cause the application to be deficient and delay processing. This form <a href="http://www.dli.mn.gov/ccld/PDF/ccld\_lic-04\_workcomp.pdf">http://www.dli.mn.gov/ccld/PDF/ccld\_lic-04\_workcomp.pdf</a> must be completed by EVERY APPLICANT.

**NOTE:** Applications will not be approved and the license, certificate, or registration applied for will not be issued unless all of the conditions identified on the application and in the applicable sections of Minnesota Statutes, Chapter 326B are in compliance. Pursuant to M.S. § 326B.082, the Department may revoke, suspend or refuse to issue any license granted when the licensee and/or applicant knowingly and willfully makes a false statement in any license application

This material can be made available in different formats, such as large print, Braille or on audio.

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## SIGN CONTRACTOR BOND Filing Application

□ New □ Renewal

Business Entity Change or Structure Change

		_		01	
New Sign Contractor Bond	\$100.00		OF	FICE US	SE ONLY
Renew Sign Contractor Bond	\$100.00		Account Numbers License 632432		STK License B42ELELIC
Depositing of fee does not constitute grar applied for. ALL FEES ARE NONR *A late fee is due if the renewal is received by date per Minn. Stat. § 326B.092; subd. 3	EFUNDABLE.	ate	PCK CCK NOTICE: Pursuant to M Statute § 604.113, cher returned for nonpaymeu charged a \$30 service may subject the issuer additional civil penalties APPLICATION NUI	cks nt will be charge and to S.	DLI Deposit Date
The information you as an individual provide in this appl Department's license requirements. Minnesota Statute 4 Identification number on this application. The other infor Security or Minnesota Business Identification number, y the requested information may delay the processing of y information you provide on this application is private dat required by law, including but not limited to the Attorney and/or for the purpose of verification and investigation. Social Security number and non-designated address, but	§ 270C.72, subd 4, req mation is being reques you are not legally requ your application or result the while the application General's Office, the I Once you have been is	uires you to ted for purp ired to supp ult in the der is pending Department sued a certi	provide your Social Se oses of processing your ly the requested data on hial of the same. Except Di. sclosure of this inform of Revenue, the Depar ficate of exemption, the	curity numbe r application. n this applica t for your nam mation to othe tment of Hum information y	r and Minnesota Business With the exception of your Social tion; however, failure to provide he and designated address, the ers may occur as authorized or han Services, upon court order,
1. MINNESOTA SECRETARY OF STATE (SOS) REGISTRATION: Is your business name(s) registered with SOS?					
IF "NO" please visit MN Secretary of State (SOS) – <u>http://mblsportal.sos.state.mn.us/</u> to verify registration or call 651-296-2803 or 1-877-551-6767 for questions about your SOS business registration filing status. Except for individuals and partnerships doing business under their own true full legal first and last name(s), all businesses and assumed names (DBA) must be registered with the Office of the Secretary of State.					
2. BUSINESS TYPE: (check only one) Spo	ecify the state busi	ness is or	ganized in:		
<ul> <li>Individual Proprietor (IP)</li> <li>Partnership (PT)</li> <li>Limited Liability Partnership (LLP)</li> </ul>	Individual Proprietor (IP)       Corporation (CORP)       Limited Liability Company (LLC)         Partnership (PT)       Foreign Corporation       Foreign Limited Liability Company				
3. FEDERAL TAX ID NUMBER (FEIN) (For info call: 1-	800-829-4933) <b>MIN</b>	INESOTA T	AX ID NUMBER (For in	nfo call: 651-2	82-5225)
If the applicant is an individual proprietor (sole proprietor) or a one- member limited liability company they must provide a Social Security Number.					
4. LEGAL BUSINESS NAME OF CONTRACTOR (COI	RP, LLC, LLP, FULL L	EGAL NAM	IE OF INDIVIDUAL PR	OPRIETOR	(IP) OR PARTNERS (PT)
<b>DBA NAME</b> (Doing business as name / assumed name	– if applicable)				
5. PHYSICAL BUSINESS STREET ADDRESS (PO Bo	x is not acceptable)	CITY	STATE	ZIP CODE	
BUSINESS MAILING ADDRESS (PO Box is acceptable	e - if applicable)	CITY	STATE	ZIP CODE	
BUSINESS PHONE NUMBER (public) OTHER TE	LEPHONE NUMBER	E-MAII	ADDRESS	1	

6. ALL OUT OF STATE BUSINESSES, except states that are contiguous (i.e. lowa, Wisconsin, South Dakota and North Dakota) with Minnesota, must provide the name and address of a registered agent in this state authorized to receive service of process and by signing this application herby give consent to service of process as required by M.S. § 326B.855.

#### MINNESOTA REGISTERED AGENT NAME

REGISTERED AGENT'S MINNESOTA ADDRESS	3	CITY		STATE	ZIP CODE
BUSINESS PHONE NUMBER (public) 01	THER TELEPHONE NUMBER	•	E-MAIL ADDRESS	•	
7. DO YOU HAVE EMPLOYEES?			PLOYMENT INSURANCE NUM ment Insurance information call		1)
8. Applicant's Contact Person	Conta	ct Perso	n's Telephone Number		

#### 9. Declarations:

This is to certify that the company making this application is in compliance with the provisions of Minnesota Statutes 326B and Minnesota Rules, including:

- a) Compensation of any employee doing contractor work will be reported on an Internal Revenue Service W-2 form.
- b) All advertising and business forms will be in the name shown on the bond form.
- c) I will immediately notify the Department in writing of any change of address, telephone number, responsible licensed person, or other information required on my application.

I understand that a Sign Bond registration is a two year registration cycle and that this certificate expires the same day that the bond expires.

I understand that if I am exempt from state licensure requirements, I may be required by a municipality to obtain a local registration or license prior to becoming eligible to obtain a permit.

I understand that a Sign Bond is NOT a license and that I am prohibited from advertising as a licensed contractor unless I or my company holds a municipal license.

I understand that I am required and may be requested to provide the Department of Labor and Industry with additional information to verify qualification for this Sign Bond.

I hereby declare that all statements provided herein are true and complete, with the same force and effect as though given under oath.

One of the officers listed on the attached **Disclosure of Business Owners**, **Partners**, **Officers and Members Form** must sign below as the applicant. If the business type is a partnership then all partners must sign.

PRINT APPLICANT NAME	APPLICANT SIGNATURE	TITLE	DATE
PRINT APPLICANT NAME	APPLICANT SIGNATURE	TITLE	DATE

This material can be made available in different formats, such as large print, Braille or on Audio.

Construction Codes and Licensing Division Licensing and Certification Services 443 Lafayette Road North St. Paul, MN 55155

Mailing Address: PO Box 64217 St. Paul, MN 55164-0217

E-mail: <u>dli.license@state.mn.us</u> Web Site: <u>www.dli.mn.gov</u> Phone: (651) 284-5034



### Disclosure of Business Owners, Partners, Officers and Members

This form must be completed by all business types.

Minnesota Statutes § 270C.72, Subd. 4, requires the Department of Labor and Industry to require contractor license applicants to provide their Minnesota Business Identification Number and the social security numbers of all individual owners, partners, officers, and other members of the business entity, who are liable for delinquent taxes. The Department of Revenue may order the Department to revoke or not issue the license of any applicant who has not filed tax returns or is delinquent in paying taxes. An individual's social security number is classified as private data and will only be supplied to the Minnesota Department of Revenue, which may supply this information to the Internal Revenue Service, or may occur as authorized or required by law. Failure to supply the required information may delay or prevent the Department from processing the original or renewal application. Once you have been issued a certificate of exemption, all information on this form with the exception of your social security number and nondesginated address becomes public data and may be released to anyone upon request.

		_
LEGAL BUSINESS NAME OF CONTRACTOR (CORP, LLC, LLP) or Full Legal Name of Individual Proprietor (IP) or Partners (PT)	LICENSE NUMBER	
	1	

DBA NAME (Doing business as name / assumed name - if applicable)

PHYSICAL BUSINESS ADDRESS (PO Box not accepted)	CITY	STATE	ZIP CODE
BUSINESS TELEPHONE NUMBER	EMAIL ADDRESS		

LIST ALL Owners, Officers, Partners, and Members (copy this form if more space is needed)				
LAST NAME (include suffix Jr., Sr., I, II etc.) FIRS	T NAME MIDDLE NAME	SOCIAL SECURITY NUMBER	DATE OF BIRTH (mandatory)	
RESIDENTIAL ADDRESS	CITY	STATE ZIP CODE	TELEPHONE NO	
Is the residential address a non-designated (F	Private) address?	No If <b>yes</b> , you must provide a d	designated (Public) address.	
DESIGNATED (Public) ADDRESS	CITY	STATE ZIP CODE	TELEPHONE NO	
APPLICANT SIGNATURE (mandatory)	TITLE (owner, part	ner, officer, or member, etc.)	DATE	
LAST NAME (include suffix Jr., Sr., I, II etc.) FIR	ST NAME MIDDLE NAME	SOCIAL SECURITY NUMBER)	DATE OF BIRTH (mandatory)	
RESIDENTIAL ADDRESS	CITY	STATE ZIP CODE	TELEPHONE NO	
Is the residential address a non-designated (F	Private) address?	No If yes, you must provide a d	designated (Public) address.	
DESIGNATED (Public) ADDRESS	CITY	STATE ZIP CODE	TELEPHONE NO	
APPLICANT SIGNATURE (mandatory)	TITLE (owner, part	ner, officer, or member, etc.)	DATE	
LAST NAME (include suffix Jr., Sr., I, II etc.) FIF	ST NAME MIDDLE NAME	SOCIAL SECURITY NUMBER	DATE OF BIRTH (mandatory)	
RESIDENTIAL ADDRESS	CITY	STATE ZIP CODE	TELEPHONE NO	
Is the residential address a non-designated (F			designated (Public) address.	
DESIGNATED (Public) ADDRESS	CITY	STATE ZIP CODE	TELEPHONE NO	
APPLICANT SIGNATURE (mandatory)	TITLE (owner, partr	ner, officer, or member, etc.)	DATE	

Construction Codes and Licensing Division Licensing and Certification Services 443 Lafayette Road North PO Box 64217 St. Paul, MN 5155



## Sign Contractor Bond

Phone:	(651) 284-5034
E-mail:	DLI.License@state.mn.us
Website:	www.dli.mn.gov

 BOND NO.
 AMOUNT
 EFFECTIVE DATE
 ENDING DATE

 YPE
 \$8,000.00
 \$8,000.00
 \$8,000.00

THAT (Business name as Registered with the Office of the Minnesota Secretary of State; or if individual sole proprietor, individual's name.)

(DBA, doing business as name if applicable)				
With business office at				
(Business Address	City	State	Zip Code	Telephone number)
as PRINCIPAL, and				
	(Surety Company Name)			
(Surety Company Address City	State	Zip Co	de Tele	phone number)
A corporation duly organized in the state of	and author	ized to d	do business	in the state of
A corporation duly organized in the state of Minnesota, as Surety, are jointly and severally held and firm				
EIGHT THOUSAND DOLLARS (\$8,000.00) for	or the benefit of persons inju	ed or su	uffering fina	ncial loss by reason
of failure of such performance as herein specified for the pa				
administrators, successors and ass firmly by these present			innesota De	partment of Labor
and Industry and shall be in lieu of all other license bonds to			nalhaa aar	tracted to parform
NOW THEREFORE, the condition of this obligation is s installation of signs within the state of Minnesota, then the				
provided in Minnesota Statute 326B.865 and all applicable				
of Minnesota and indemnify any person dealing or transact				
occasioned by the failure of the Principal to comply with an				
installation, then no obligation under this bond shall accrue				
During the term of this obligation the Principal and Surety v				
needed to correct non-complying work. The aggregate liab				
number of claims made against the bond or the number of		rce, sna	ill in no ever	nt exceed the total
sum of EIGHT THOUSAND DOLLARS (\$8,000	,		·····	and the second second second
The bond must be renewed biennially and maintained for s the surety on the bond to any and all persons, regardless of				
annual amount of the bond. The bond may be cancelled as				
the commissioner by giving written notice by Certified Mail,				
to the Department of Labor and Industry, Construction Cod				
55155. Thirty (30) days after the mailing of that notice, this				
Surety remaining liable, however, subject to all the terms, o				
by this bond up to the date of the cancellation. The Surety				
it has made any payments on the bond which result in the	-		inimum amo	ount required by law.
signed and sealed thisday of	(SURETY SEA	L)		
Print Name of Principal (s)	SIGNATURE OF PRIN	CIPAL(S)	)	
Print Name of Principal (s)	SIGNATURE OF PRIN	CIPAL(S)		

Acknowledge (notarize) signatures on reverse side and attach power of attorney form.

File with: Minnesota Department of Labor and Industry CCLD – Licensing and Certification 443 Lafayette Road N St. Paul, Minnesota 55155 NAME OF SURETY

SIGNATURE OF ATTORNEY IN FACT (SURETY COMPANY)

## A OR B AND C MUST BE COMPLETED

A. FOR ACKNOWLEDGEMENT OF Individual, Partnership, Limited Liability Company or Limited Liability Partnership (Note: If partnership all signatures required to be notarized. Please copy the page if necessary.)

STATE OF	)	
COUNTY OF	) ss	
On thisday of	personally c	came
to me well known to be the identical pers	on(s) described in and v	who executed the foregoing bond and he/she/they acknowledged the same
to be his/her/their own free act and deed		
(SEAL)		Notary Public,County,
		My Commission Expires
B. FOR ACKNOWLEDGEMENT of Co	rporate Contractor	
STATE OF	)	
COUNTY OF	) SS )	
	,	
On thisday of	personally c	came
who being by me duly sworn, did say that	the/she is	
of		, a
corporation; and that said instrument was	s executed in behalf of th	he corporation by authority of its Board of Directors; that he/she
acknowledged said instrument to be the	free act and deed of the	corporation.
(SEAL)		Notary Public,County,
		My Commission Expires
PART C MUST BE COMPLET		
C. FOR ACKNOWLEDGEMENT of Co		
STATE OF	)	
COUNTY OF		
	)	
On thisday of	personally c	came
and		to me personally known, who being by me duly sworn, did say that
he/she is the attorney in fact, of		,the
corporation whose name is affixed to the	foregoing instrument; th	nat the seal affixed to the foregoing instrument is the corporate seal of the
said corporation; and that said instrumen	t was executed in behal	f of said corporation by authority of its board of directors and said
		acknowledged that he/she executed said instrument as attorney
in fact as the free act and deed of said co	orporation.	
(SEAL)		Notary Public,County,
		My Commission Expires



# Certificate of Compliance Minnesota Workers' Compensation Law

## Print in ink or type

Web Site: www.dli.mn.gov Phone: (651) 284-5034

E-mail: dli.license@state.mn.us

#### This form must be completed by the business license applicant.

Minnesota Statutes § 176.182 requires every state and local licensing agency to withhold the issuance or renewal of a license or permit to operate a business in Minnesota until the applicant presents acceptable evidence of compliance with the workers' compensation insurance coverage requirement of Minn. Stat. chapter 176. If the required information is not provided or is falsely stated, it shall result in a \$2,000 penalty assessed against the applicant by the commissioner of the Department of Labor and Industry.

A valid workers' compensation policy must be kept in effect at all times by employers as required by law.

License or certificate number (if applicable)	Business telephone number	Alternate telephone number
Business name (Provide the legal name of the business entity	If the business is a sole proprietor of	r partnership provide the owner's name(s)

Business name (Provide the legal name of the business entity. If the business is a sole proprietor or partnership, provide the owner's name(s), for example John Doe, or John Doe and Jane Doe.)

DBA ("doing business as" or "also known as" an assumed name), if applicable

Business address (must be physical street address, no P.O. boxes)	City	State	ZIP code
County	Email address		

#### You must complete number 1 or 2 below.

Note: You must resubmit this form to the authority issuing your license if any of the information you have provided changes.

#### 1. I have a workers' compensation insurancepolicy.

Insurance company name (not the insurance agent)		
Policy number	Effective date	Expiration date

I am self-insured for workers' compensation. (Attach a copy of the authorization to self-insure from the Minnesota Department of Commerce; see <u>https://mn.gov/commerce/industries/insurance/licensing/self-insurance</u>.)

#### 2. I am not required to have workers' compensation insurance because:

- I only use independent contractors and do not have employees. (See Minn. Stat. § 176.043 for trucking and messenger courier industries; Minn. Stat. § 181.723, subd. 4, for building construction; and Minnesota Rules chapter 5224 for other industries.)
- I do not use independent contractors and have no employees. (See Minn. Stat. § 176.011, subd. 9, for the definition of an employee.)
- I use independent contractors and I have employees who are not required to be covered by the workers' compensation law. (Explain below.)
- I only have employees who are not required to be covered by the workers' compensation law. (Explain below.) (See Minn. Stat. § 176.041 for a list of excluded employees.)

Explain why your employees are not required to be covered

I certify the information provided on this form is accurate and complete. If I am signing on behalf of a business, I certify I am authorized to sign on behalf of the business.

#### Print name

Applicant signature (required)	Title	Date

If you have questions about completing this form or to request this form in Braille, large print or audio.