

**Minnesota Department of Labor and Industry
Workers' Compensation Division**

Notice of updates to the Minnesota implementation guide for Version 3.1 of the Claims Release Standard for purposes of serving and electronically filing workers' compensation reports and documents with the commissioner on or after August 31, 2020

Notice is hereby given that the commissioner of the Minnesota Department of Labor and Industry (DLI) is updating the Minnesota electronic filing and implementation guide (Minnesota implementation guide) for Version 3.1 of the Claims Release Standard (R3.1), which were adopted on May 22, 2020 (44 SR 1439). R3.1 and the Minnesota implementation guide will be used by self-insured employers, insurers and third-party administrators (called trading partners) that are required by law to electronically report workers' compensation claim information to the commissioner on or after August 31, 2020. They will also be used by the trading partners' vendors that facilitate submission of the electronic transactions to DLI on or after August 31, 2020.

Statutory authority and background: Under Minnesota Statutes, section 176.231, subdivision 5 (d) (2019, 1st sp. session), the commissioner must give at least 30 days' notice to self-insured employers and insurers, and publish notice in the State Register, of any updates to the Minnesota implementation guide. The requirements in the adopted versions of the Claims Release Standard and the updated Minnesota implementation guide supersede any conflicting rule. The versions of the Claims Release Standard and Minnesota implementation guide adopted by the commissioner are not rules under chapter 14, but have the force and effect of law as of August 31, 2020. The statute permits the commissioner to publish the initial notices in this subdivision before August 31, 2020, to ensure the adopted versions of the Claims Release Standard and Minnesota implementation guide are effective on that date.

The Minnesota implementation guide is the Minnesota-specific documentation that technically implements the R3.1 format; it explains how R3.1 is used in the Minnesota workers' compensation system for its first report of injury (FROI) and subsequent report of injury (SROI) electronic reporting structure. The FROI transaction is used to transmit new and updated claim information; the SROI transaction is used to transmit payment and denial information related to previously submitted claims. R3.1 defines the types of electronic transactions that can be reported. The adopted R3.1 standard and Minnesota implementation guide will be used in conjunction with all applicable Minnesota workers' compensation statutes and rules that are not replaced by the adopted standard and implementation guide.

Updates to the Minnesota implementation guide. On June 1, 2020, DLI published a Notice of Adoption of R3.1 and the corresponding Minnesota implementation guide in the Minnesota *State Register* (44 SR 49, p. 1439). The notice and implementation guide can also be viewed on DLI's webpage at www.dli.mn.gov/business/workers-compensation/work-comp-electronic-data-interchange-edi-and-efroi-web-portal.

It is determined that additional updates to the Minnesota implementation guide are needed to correct grammatical errors and typographical errors and provide additional clarity to edits. Therefore, effective August 31, 2020, the adopted Minnesota implementation guide is updated from the guide published on June 1, 2020, as follows:

Implementation guide updates

- Removed edit requiring DN0042 not be present for UR transactions (p. 21)
 - Conflicted with other edits for transaction
- Removed FAQ pertaining to asbestosis claims (p. 98, moved to p. 12)
 - Moved to MTC Special Considerations section; information provided in FAQ section is no longer valid
- Added instructions for UCWCP claims to Minnesota FROI edit requirement descriptions and FAQs (pp. 94, 98)
 - Additional clarification
- Added recommended FTP naming convention for EDI transactions to allow for better traceability of transactions submitted as follows (p. 4):
 - MN.ACCOUNTNAME.SENDERPOSTAL.{FROI/SROI}.{T/P}.yyyyMMddHHmm.{counter}.xml
 - MN = State of MN
 - ACCOUNTNAME = trading partner name provided by MN (without spaces)
 - SENDERPOSTAL = 5-digit from header
 - FROI/SROI = batch type – default to SROI if both
 - T/P = test or production files
 - yyyyMMddHHmm = Year, month, day, hour and minute the file was uploaded
 - Counter = number of transactions in the file
 - .xml = file type
- Page Numbers
 - Updated page numbers, Table of Contents numbering, and publication date

Appendix A. R3.1 MN Element Requirements Table updates

- FROI Conditional Requirements Tab
 - Removed edit requiring DN0042 not be present for UR transactions
 - Conflicted with other edits for transaction
- FROI Conditional Requirements Tab
 - Removed edit requiring DN0206 to exist for AQ transactions
 - Inconsistency with Implementation Guide

Appendix B. R3.1 MN Edit Matrix updates

- Population Requirements Tab
 - Updated edit for DN0205 to indicate must not exist for variable work weeks
 - Correct typographical error
- Updated “Jurisdiction will apply edits” field to N for DN0414, DN0415, DN0435, DN0124, DN0147 and DN0242
 - Inconsistent with edits
- Updated Number of Full Denial Reason Codes to not required for PD transactions
 - Invalid edit for MTC

Appendix C. R3.1 MN Event Table updates

- Added the following clarifying language to headers in the FROI, SROI, and Periodic Tabs
 - “The legal timeframes for filing are controlled by the workers’ compensation law in effect at the time of filing.”

How to obtain Claims Release 3.1 and the Minnesota implementation guide: The adopted updated Minnesota implementation guide is available electronically for viewing and downloading on DLI’s website at www.dli.mn.gov/business/workers-compensation/work-comp-electronic-data-interchange-edi-and-efroi-web-portal. The adopted Claims Release R3.1 is copyrighted by and can be purchased from the IAIABC at www.iaiaabc.org. Any person may arrange to review a paper copy of R3.1 and the Minnesota implementation guide at DLI by contacting DLI at campus.ediguide.DLI@state.mn.us or 651-284-5011.

Dated: June 16, 2020

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Nancy J. Leppink, Commissioner
Department of Labor and Industry