

Appendix table
Workers' Compensation Division penalty statistics

Penalty type	FY 2004				FY 2005				FY 2006				FY 2007			
	Assessed		Collected		Assessed		Collected		Assessed		Collected		Assessed		Collected	
	Total #	Dollar amount	Total #	Dollar amount	Total #	Dollar amount	Total #	Dollar amount	Total #	Dollar amount	Total #	Dollar amount	Total #	Dollar amount	Total #	Dollar amount
Late filing of 1st report (M.S. 176.231)	531	\$218,875	446	\$182,588	665	\$282,375	494	\$200,556	674	\$288,125	460	\$193,542	502	\$216,500	510	\$215,996
Late 1st payment (M.S. 176.221 & 176.225)	1,290	\$488,138 \$167,060	1,251	\$425,228	1,238	\$492,735 \$168,816	1,090	\$387,846	1,104	\$426,572 \$150,207	1,080	\$367,475	1,012	\$444,260 \$148,540	941	\$345,778
Late denial (M.S. 176.221)	392	\$236,250	325	\$177,575	427	\$269,000	320	\$167,986	461	\$277,000	397	\$203,668	342	\$199,000	279	\$145,141
Prohibited practices (M.S. 176.194)	74	\$294,000	59	\$166,075	46	\$147,000	22	\$59,860	62	\$228,000	48	\$113,086	86	\$366,000	75	\$253,211
Rehabilitation provider discipline (M.S. 176.102)	1	\$450	1	\$450	2	\$3,400	2	\$3,200	4	\$2,350	5	\$2,550	5	\$3,150	4	\$2,700
Managed care organization discipline (M.S. 176.1351)	1	\$2,000	1	\$2,000	0	\$0	0	\$0	0	\$0	0	\$0	0	\$0	0	\$0
Health care provider discipline (M.S. 176.103)	2	\$900	2	\$900	0	\$0	0	\$0	0	\$0	0	\$0	0	\$0	0	\$0
Failure to insure (M.S. 176.181)	205	\$2,329,931 \$992,421	291	\$561,050	131	\$2,340,418 \$1,135,357	217	\$393,113	153	\$2,989,498 \$2,519,905	159	\$492,075	144	\$1,796,805 \$1,447,706	157	\$472,168
Late filing of special fund assessment (M.S. 176.129 & 176.130)	130	\$230,636	149	\$231,652	83	\$229,423	95	\$223,507	82	\$257,441	73	\$209,591	119	\$160,387	103	\$117,593
Other penalties (M.S. 176.221, 176.225, 176.138, 176.231, 176.238, & 176.84)	163	\$55,666 \$39,254	138	\$42,300	148	\$61,086 \$44,721	107	\$40,319	292	\$157,266 \$71,350	187	\$76,159	353	\$193,362 \$37,248	328	\$146,522
Totals	2,789	\$2,725,649	2,663	\$1,789,818	2,740	\$2,833,913	2,347	\$1,476,387	2,832	\$4,378,216	2,409	\$1,658,146	2,563	\$3,216,152	2,397	\$1,699,109

The differences between the penalties assessed and collected is a result of: rescinded and settled penalties, timing delays and data for penalties paid to employees not being collected by the department. The assessed penalty amounts for late first payment and other penalties show the amount payable to the department first and the amount payable to the employee second. The assessed penalty amounts for failure to insure penalties show the estimated amount first and the reduced amount second.